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THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

IBM DOCKET NO. AUS920010988US1

DATE: 11-29-01

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Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Aschen, et al.

For: **System and Method for Controlling Invalid Password Attempts**

Enclosed are:

- ☒ Patent Specification and Declaration.
- ☒ sheets of drawing(s). (Formal) 7 Sheets.
- ☒ An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- ☐ A certified copy of a _____ application.
- ☒ Information Disclosure Statement, PTO 1449 and copies of references

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				740.00
Total Claims	20	0	x \$18	\$ 0.00
Indep. Claims	3	0	x \$84	\$ 0.00
Mult. Dep. Claims	0	0	x \$280	0

Total: \$ 740.00

- ☒ Please charge my Deposit Account No. 09-0447 in the amount of \$ 740.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR Sect. 1.16.
- ☒ Any patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

David Mims Jr.

David A. Mims, Jr.
Registration No. 32,708
Intellectual Property Law Dept.
IBM Corporation
11400 Burnet Road, Zip 4054
Austin, Texas 78758
Telephone: (512) 823-0950

11/29/01
JC986 U.S. PTO

JC975 U.S. PTO
09/998389
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